



Vestor Capital

A FOCUS FINANCIAL PARTNER

Vestor Capital, LLC

Firm Brochure

Form ADV Part 2B

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This brochure supplement provides information about the education, qualifications and business experience of the supervised persons of Vestor Capital, LLC. You should have received a copy of that brochure. If you have any questions about the contents of this brochure supplement or have not received a copy of Vestor Capital, LLC's brochure, please contact us at (312) 641-2400 or by email at vestor@vestorcapital.com. The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission ("SEC"), or by any state securities authority.

Additional information about the supervised persons (listed below) of Vestor Capital, LLC is available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Persons

Brian C. Baker, Jr.

Jason Blandford

Martin Buehler

Timothy R. Detloff

Thomas B. Egan

Mark R. Holden

Stephen Konsler

Elaine Koby Moss

Peter J. Mullins

Dennis J. Slott

Scott A. Stuth

Education and Business Standards

Vestor Capital, LLC requires that any employee whose function involves determining or giving investment advice to Clients must:

- 1) Be a college graduate;
- 2) Have two or more years of investment experience and/or graduate business degree;
- 3) Hold the Series 65/66 Investment Adviser Representative license or its equivalent;
- 4) Be properly licensed for all advisory activities in which they are engaged.

Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

CFA[®] - Chartered Financial Analyst

Issued by: CFA Institute

Prerequisites/Experience Required: Candidate must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full-time, but not necessarily investment related)
- Educational Requirements: Self-study program (250 hours of study for each of the 3 levels)

Examination Type: 3 course exams

Continuing Education/Experience Requirements: None

CFP[®] - Certified Financial Planner

Issued by: Certified Financial Planner Board of Standards, Inc.

Prerequisites/Experience Required: Candidate must meet the following requirements:

- A bachelor's degree (or higher) from an accredited college or university, and
- 3 years of full-time personal financial planning experience

Educational Requirements: Candidate must complete a CFP-board registered program, or hold one of the following:

- CPA
- ChFC[®]
- CLU[®]
- CFA[®]
- Ph.D. in business or economics
- Doctor of Business Administration
- Attorney's License

Examination Type: Comprehensive CFP[®] Certification Examination

Continuing Education/Experience Requirements: 30 CE hours every 2 years

CIMA[®] - Certified Investment Management Analyst

Issued by: Investment Management Consultants Association (IMCA)

Prerequisites/Experience Required: Candidate must meet the following requirements:

- 3 years of financial services experience, and
- an acceptable regulatory history

Educational Requirements: Must successfully complete a 1 week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school.

Examination Type: Online Certification Examination

Continuing Education/Experience Requirements: Must report 40 hours of continuing education credits, including 2 ethics hours, every 2 years to maintain the certification.

CPWA® – Certified Private Wealth AdvisorSM

Issued by: Investment Management Consultants Association (IMCA)

Prerequisites/Experience Required:

- Candidate must meet one of the following requirements: A Bachelor's Degree from an accredited college or university, or hold one of the following:
 - CIMA®
 - CIMC
 - CFA®
 - CFP®
 - ChFC®
 - CPA
- And, an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements;
- 5 years of professional Client-centered experience in financial services or a related industry, and;
- 2 letters of reference from an IMCA member, professional supervisor, or currently licensed professional in financial services or a related industry.

Educational Requirements: Self-study requirements and an in-class education component.

Examination Type: Comprehensive Examination

Continuing Education/Experience Requirements: Must report 40 hours of continuing education credits, including 2 ethics hours, every 2 years to maintain the certification.

CPA – Certified Public Accountant

Issued by: American Institute of CPA's and State CPA Board

Prerequisites/Experience: 150 semester hours of college course work.

Illinois requirements below:

- The IDFPR licenses individuals who have received an Illinois CPA certificate and who have had at least one year of full-time experience, or its equivalent, providing any type of service or advice involving the use of accounting, attest, management advisory, financial advisory, tax, or consulting skills. Experience may be gained through employment in government, industry, academia, or public practice.
- IDFPR also licenses "by endorsement" individuals who have a valid CPA certificate and/or license from another jurisdiction that's substantially equivalent.
- If you wait more than 4 years after receiving your Illinois CPA certificate to apply for a license, you will need to have completed 90 hours of continuing professional education (CPE) within the 3 years immediately preceding the date of application for licensure.

Educational Requirements: 150 semester hours of college course work

Examination Type: Uniform CPA Examination

Continuing Education Requirements: 120 CE Hours over 3 years starting the January following licensing.

CRPC® – Chartered Retirement Planning Counselor

Issued by: College of Financial Planning

Educational Requirements: Graduate level year long course focused on pre- and post-retirement planning and issues related to asset management and estate planning

Examination Type: Proctored and timed end of course Certification Examination

Continuing Education/Experience Requirements: Must report 16 hours of continuing education credits, every 2 years to maintain the certification.

CDFA® – Certified Divorce Financial Analyst

Issued by: Institute for Divorce Financial Analysts

Prerequisites/Experience Required: Must have a minimum of 3 years work experience in a financial or legal capacity prior to earning the right to use the certification mark.

Educational Requirements: Must complete a comprehensive course of study approved by the IDFA.

Examination Type: Must complete a four-part Educational Curriculum, comprehensive case study and Certification Exam.

Continuing Education/Experience Requirements: Must report 15 divorce-related continuing education credit hours every 2 years to maintain the certification.

Brian C. Baker, Jr., CPA, CFP®
Wealth Management Advisor

Date of Birth

May 27, 1982

Educational Background

B.S., Purdue University, Accounting
M.B.A., DePaul University, Financial Analysis
Securities Licenses: Series 66
Professional Designations: CPA, CFP®

Business Experience

Mr. Baker joined Vestor Capital in 2013. He has been serving Clients in the financial services industry since 2008. Prior to joining Vestor Capital, he served as a Relationship Manager in the Corporate and Institutional Services division at The Northern Trust Company.

Disciplinary Information

None

Other Professional Activities

Unrelated to serving Clients of Vestor Capital, Mr. Baker is actively involved with real estate investments as a managing member of several LLC's. These businesses develop and manage real estate investments.

Additional Compensation

The family partnership owned by Mr. Baker manages some real estate LLC's together with one longtime advisory client and receives income from the investments. Clients are not otherwise invested in, and are not solicited to invested in, the real estate LLC's.

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Baker's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Jason Blandford, CFP®
Wealth Management Advisor

Date of Birth

January 1, 1980

Educational Background

B.A., High Point University NC, English Journalism

Securities Licenses: Series 66

Professional Designations: CFP®

Business Experience

Mr. Blandford joined Vestor Capital in 2017. He has been serving Clients in the financial services industry since 2002. Prior to joining Vestor Capital, he served as an Investment Consultant at TD Ameritrade and previously as a Financial Consultant at Charles Schwab.

Disciplinary Information

None

Other Professional Activities

None

Additional Compensation

None

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Blandford's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Martin Buehler, CPWA®
President

Date of Birth

December 7, 1954

Educational Background

B.A., Michigan State University, Communications

M.B.A., Northwestern University, Finance

Securities Licenses: Series 65

Professional Designation: Certified Private Wealth AdvisorSM

Business Experience

Mr. Buehler joined Vestor Capital in 1991. He is the President of the firm and serves on its Executive Management Committee and Investment Committee. Prior to joining Vestor Capital, Mr. Buehler began his career with The Illinois Company, a Chicago-based investment firm.

Disciplinary Information

None

Other Professional Activities

None

Additional Compensation

Mr. Buehler may directly or indirectly receive other compensation from Focus Financial Partners, LLC the parent company of Vestor Capital, LLC.

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Buehler's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Timothy R. Detloff, CFA[®], CPA
Director of Research

Date of Birth

October 17, 1959

Educational Background

B.S., Northern Illinois University, Accounting
M.B.A., University of Illinois-Champaign, Finance
Securities Licenses: Series 65
Professional Designations: CFA[®], CPA

Business Experience

Tim joined Vestor Capital in 2005. Prior to that, he was employed by Monetta Financial Services in Wheaton where he was Vice President - Portfolio Manager/Analyst. Prior to his nine-year tenure at Monetta, he worked at Amoco Corporation for eight years as Assistant Vice President - Amoco Venture Capital Company and as a Financial/Operations Analyst. Tim has also worked for National Bank of Detroit, Allstate Insurance Company in Northbrook and Coleman, Epstein, Berlin & Company in Chicago.

Disciplinary Information

None

Other Professional Activities

Mr. Detloff is a CFA[®] Charter-holder and a member of the CFA Society of Chicago.

Additional Compensation

None

Supervision By

Martin Buehler is responsible for the supervision and monitoring of Mr. Detloff's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Martin Buehler, President, 312-641-2400

Thomas B. Egan, CIMA®
Wealth Management Advisor

Date of Birth

June 8, 1961

Educational Background

B.S., University of Denver, Business Management

M.B.A., DePaul University

Securities Licenses: Series 65

Business Experience

Mr. Egan joined Vestor Capital in 2018. He brings over 25 years of financial analysis and portfolio management experience to the team and is responsible for strengthening client relationships and developing new business. Prior to joining Vestor, Tom held senior portfolio and investment management positions at Trust Company of Illinois and U.S. Trust.

Disciplinary Information

None

Other Professional Activities

None

Additional Compensation

None

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Egan's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Stephen Konsler, CRPC®
Wealth Management Advisor

Date of Birth

January 7, 1988

Educational Background

B.S., Dayton University, Accounting & Finance

Securities Licenses: Series 65

Professional Designations: CRPC®

Business Experience

Mr. Konsler joined Vestor Capital in 2022. He has been serving Clients in the financial services industry since 2010. Prior to joining Vestor Capital, he served as a Financial Solutions Advisor at Merrill Lynch.

Disciplinary Information

None

Other Professional Activities

Daniel Murphy Foundation Board Member

Additional Compensation

None.

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Konsler's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Mark R. Holden, CFP®
Wealth Management Advisor

Date of Birth

August 22, 1983

Educational Background

B.F.A., Auburn University, Theatrical Performance

M.B.A., DePaul University, Leadership and Change Management

Securities Licenses: Series 65

Professional Designations: CFP®, CWS®

Business Experience

Mr. Holden joined Vestor Capital in 2021. He has been serving Clients in the financial services industry since 2007. Prior to joining Vestor Capital, he worked as a financial consultant with TD Ameritrade regularly being featured on the TD Ameritrade Network in both live and on demand content. Previously he worked as an option trader and strategist through RED Option, a registered investment advisor. Prior to this he served the thinkorswim group as a software technician and content manager.

Disciplinary Information

None

Other Professional Activities

None.

Additional Compensation

None.

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Holden's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Elaine Koby Moss
Vice President

Date of Birth

October 30, 1963

Educational Background

B.A., Tulane University, Finance
Securities Licenses: Series 63, 65, 7

Business Experience

Mrs. Moss joined Vestor Capital in 1995. She has been serving Clients in the financial services industry since 1985. Previously, she served as an investment executive at Bear, Stearns & Co. and Tucker Anthony, Inc.

Disciplinary Information

None

Other Professional Activities

None

Additional Compensation

None

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mrs. Moss' Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Peter J. Mullins, CFP[®], CRPC[®], CDFP[®]
Partner & Wealth Management Advisor

Date of Birth

December 9, 1986

Educational Background

B.S. University of Arizona, Finance
Securities Licenses: Series 66
Professional Designations: CFP[®], CRPC[®] and CDFP[®]

Business Experience

Mr. Mullins joined Vestor Capital in 2013. He has been serving Clients in the financial services industry since 2009. Prior to joining Vestor Capital, he served as an investment executive at Merrill Lynch, Pierce, Fenner & Smith, Inc.

Disciplinary Information

None

Outside Business Activities

Black Hawk Alumni Association

Other Professional Activities

None

Additional Compensation

None

Supervision By

Dennis J. Slott is responsible for the supervision and monitoring of Mr. Mullins' Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis J. Slott, Executive Vice President, 312-641-2400

Dennis J. Slott, CFP®
Executive Vice President

Date of Birth

April 9, 1962

Educational Background

B.A. Brown University, Economics
Securities Licenses: Series 66,
Professional Designations: CFP®

Business Experience

Mr. Slott joined Vestor Capital in 2001. He is primarily responsible for managing the firm's sales and marketing efforts. Mr. Slott is an Executive Vice President of the firm and serves on its Executive Management Committee and Investment Committee. He has been serving Clients in the financial services industry since 1984. Prior to joining Vestor Capital, he served as an investment executive at John Nuveen and Co., Drexel Burnham Lambert, Paine Webber and, previously, as Vice President at Northern Trust Securities, Inc.

Disciplinary Information

None

Other Professional Activities

None.

Additional Compensation

Mr. Slott may directly or indirectly receive other compensation from Focus Financial Partners, LLC the parent company of Vestor Capital, LLC.

Supervision By

Martin Buehler is responsible for the supervision and monitoring of Mr. Slott's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Martin Buehler, President, 312-641-2400

Scott A. Stuth
Partner & Wealth Management Advisor

Date of Birth

March 8, 1960

Educational Background

B.A. Northern Illinois University, Finance & Management
Securities Licenses: Series 65

Business Experience

Mr. Stuth merged his investment advisory business, Oak Asset Management, with Vestor Capital in December 2020. Scott has 38 years of experience in the financial services industry and had been with Oak since its origin in 2006. Prior to establishing and operating Oak Asset Management, Scott served as the Senior Vice President and Managing Director for the Private Bank Wealth Management Division at Harris Bank for 8 years. Prior to that, he worked for Bank One/Chase Securities Corporation for nearly ten years. Throughout his career, Scott has enjoyed using his experience in portfolio management and financial planning to help families and corporations manage their wealth for the future.

Disciplinary Information

None

Other Professional Activities

None.

Additional Compensation

Mr. Stuth may directly or indirectly receive other compensation from Focus Financial Partners, LLC the parent company of Vestor Capital, LLC.

Supervision By

Dennis Slott is responsible for the supervision and monitoring of Mr. Stuth's Client advisory activities. He reviews this supervised person's investment advisory work through frequent office interactions and through our Client Relationship and Investment Management Process.

Supervisor Contact Information

Dennis Slott, Executive Vice President, 312-641-2400